



PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 6

Complete if Known

Application Number	10/030,392
Filing Date	01/02/2002
First Named Inventor	M. Hanna et al.
Art Unit	
Examiner Name	Unassigned
Attorney Docket Number	0112.00

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
UV	AA	US-4,328,107	05/04/1982	Wright	RECEIVED DEC 09 2002 TC 1700
UV	AB	US-4,361,285	11/30/1982	Koppehele et al.	
UV	AC	US-4,582,731	04/15/1986	Smith	
UV	AD	US-4,702,799	10/27/1987	Tuot	
UV	AE	US-4,737,384	04/12/1988	Murthy et al.	
UV	AF	US-4,919,853	04/24/1990	Alvarez et al.	
UV	AG	US-4,923,720	05/08/1990	Lee et al.	
UV	AH	US-4,970,093	11/13/1990	Sievers et al.	
UV	AI	US-4,977,785	12/18/1990	Willoughby et al.	
UV	AJ	US-5,009,367	04/23/1991	Nielsen	
UV	AK	US-5,043,280	08/27/1991	Fischer et al.	
UV	AL	US-5,106,659	04/21/1992	Hastings et al.	
UV	AM	US-5,221,731	06/22/1993	Weymans et al.	
UV	AN	US-5,229,486	07/20/1993	Paul et al.	
UV	AO	US-5,437,798	08/01/1995	LaRoche et al.	
UV	AP	US-5,548,004	08/20/1996	Mandel et al.	
UV	AQ	US-5,554,382	09/10/1996	Castor	
UV	AR	US-5,639,441	06/17/1997	Sievers et al.	
UV	AS	US-5,707,634	01/13/1998	Schmitt	
UV	AT	US-5,708,039	01/13/1998	Daly et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
UV	AX	DE 4041563	06/25/1992	Schwarz Pharma AG		
UV	AY	DE 1078283	03/24/1960	F. Aktiengesellschaft		
UV	AZ	EP 0322687	05/05/1993	W. Fischer		
UV	BA	EP 0542314	05/19/1993	P. Debenedetti		
UV	BB	JP 1-176437	07/12/1989	T. Tauchi		
UV	BC	JP 5-57166	03/09/1993	K. Kitagawa		
UV	BD	WO 90/11139	10/04/1990	K. Nielsen		
UV	BE	WO 90/03782	04/19/1990	W. Schmitt		
UV	CP	GB 2322326	08/26/1998	Bradford Particle		
UV	CO	WO 95/21688	08/17/1995	W. Eckhard et al.		

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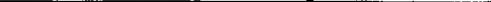
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/030,392
				Filing Date	01/02/2002
				First Named Inventor	M. Hanna et al.
				Art Unit	
				Examiner Name	Unassigned
Sheet	2	of	6	Attorney Docket Number	0112.00

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	2/9/04
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 6

Complete if Known

Application Number	10/030,392
Filing Date	01/02/2002
First Named Inventor	M. Hanna et al.
Group Art Unit	
Examiner Name	Unassigned
Attorney Docket Number	0112.00

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
AC	BF	DEBENEDETTI ET AL., "Application of Supercritical Fluids for the Production of Sustained Delivery Devices." J. Cont. Rel. No. 24, p. 27-44 (1993).
AV	BG	DIXON ET AL., "Polymeric Materials Formed by Precipitation with a Compressed Fluid Antisolvent." AIChE J., Vol. 39 (No. 1), p. 127-139 (1993).
EY	BH	TOM ET AL., "Applications of Supercritical Fluids in the Controlled Release of Drugs," ACS Symposium Series, Supercritical Fluid Engineering Science Fundamentals and Applications, Chpt. 19, p. 238-257 (1993).
VO	BI	LARSON ET AL., "Evaluation of Supercritical Fluid Extraction in the Pharmaceutical Industry," Biotech. Progress, Vol. 2 (No. 2), p. 73-82 (1986).
VI	BJ	Ullmans Encyclopedia of Industrial Chemistry, Vol. B2, Fifth Ed., title page, copyright page, and p. 7-21 and 7-22 (1988).
VO	BK	TOM ET AL., "Particle Formation with Supercritical Fluids - A Review," J. Aerosol Sci., 1st Ed. Vol. 22 (No. 5), p. 555-584 (1981).
VL	BL	DEBENEDETTI ET AL., "Rapid Expansion of Supercritical Solutions (RESS): Fundamentals and Applications." Fluid Phase Equilibria, Vol. 82, p. 311-321 (1993).
AD	BM	GALLAGHER ET AL., "Gas AntiSolvent Recrystallization: New Process to Recrystallize Compounds Insoluble in Supercritical Fluids," ACS Symp. Ser No. 406, p. 334-354 (1989).
VO	BN	LAHIERE ET AL., "Mass-Transfer Efficiencies of Column Contactors in Supercritical Extraction Service." Ind. Eng. Chem. Res. No. 26, p. 2086-2092 (1987).
VO	BO	STAHL ET AL., "Dense Gas Extraction on a Laboratory Scale: A Survey of Some Recent Results," Fluid Phase Equilibria, No. 10, p. 269-278 (1983).
VL	BP	DILL ET AL., "Denatured States of Proteins," Annu. Rev. Biochem, No. 60, p. 795-825 (1991).

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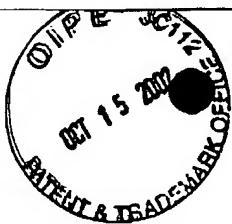
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Attorney Docket Number	0112.00

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CC	BQ	O'CALLAGHAN ET AL., "Novel Method for Detection of (B)-Lactamases by Using a Chromogenic Cephalosporin Substrate." Antimicrobial Agents and Chemotherapy, Vol. 1 (No. 4), p. 283-288 (1972).	
CC	BR	JUNG ET AL., "Particle Design Using Supercritical Fluids: Literature and Patent Survey," J. of Supercritical Fluids, Vol. 20, p. 179-219 (2001).	
CD	BS	BLEICH ET AL., "Aerosol Solvent Extraction System - A New Microparticle Production Technique." International J. of Pharmaceutics, Vol. 97, p. 111-117 (1993).	
CD	BT	CHANG ET AL., "Separation of B-Carotene Mixtures Precipitated from Liquid Solvents with High-Pressure CO ₂ ." Biotechnol. Prog., No. 7, p. 275-278 (1991).	
CC	BU	GALLAGHER ET AL., "Gas Anti-Solvent Recrystallization of RDX: Formation of Ultra-fine Particles of a Difficult-to-Commute Explosive." The J. of Supercritical Fluids, No. 5, p. 130-142 (1992).	
CD	BV	MATSON ET AL., "Production of Powders and Films by the Rapid Expansion of Supercritical Solutions." J. of Materials Science, No. 22, p. 1919-1928 (1987).	
CD	BW	MOHAMED ET AL., "Solids Formation After the Expansion of Supercritical Mixtures," Supercritical Fluid Science and Technology, Chapter 23, American Chemical Society, p. 355-378 (1989).	
CD	BX	BARJ ET AL., "Submicronic MgAl ₂ O ₄ Powder Synthesis in Supercritical Ethanol." J. of Materials Sci., No. 27, p. 2187-2192 (1992).	
CD	BY	CHHOR ET AL., "Synthesis of Submicron TiO ₂ Powders in Vapor, Liquid and Supercritical Phases, a Comparative Study." Materials Chemistry and Physics, Vol. 32, p. 249-254 (1992).	
CC	BZ	FRANCIS, "Ternary Systems of Liquid Carbon Dioxide," J. of Physical Chemistry, Vol. 58, p. 1099-1114 (1954).	
CD	CA	YEO ET AL., "Formation of Microparticulate Protein Powders Using a Supercritical Fluid Antisolvent," Biotechnology and Bioengineering, Vol. 41, p. 341-346 (1993).	

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5 of 6

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First Named Inventor	M. Hanna et al.
Group Art Unit	
Examiner Name	Unassigned
Attorney Docket Number	0112.00

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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AC	CB	RANDOLPH ET AL., "Sub-Micrometer-Sized Biodegradable Particles of Poly (L-Lactic Acid) via the Gas Antisolvent Spray Precipitation Process." Biotechnol. Prog. Vol. 9, No. 4, p. 429-435 (1993).
AD	CC	DEBENEDETTI ET AL., "Supercritical Fluids : A New Medium for the Formation of Particles of Biomedical Interest." Proceed. Intern. Symp. Control Rel. Bioact. Mater., 20, p. 141-142 (1993).
AD	CD	DONSI ET AL., "Micronization by Means of Supercritical Fluids: Possibility of Application to Pharmaceutical Field." Pharm. ACTA HELV. 66, Nr. 5-6, p. 170-173 (1991).
AD	CE	CYGNAROWICZ ET AL., "Design and Control of a Process to Extract B-Carotene with Supercritical Carbon Dioxide." Biotechnol. Prog. Vol. 6, p. 82-91 (1990).
AD	CF	CHEN ET AL., "Supercritical Antisolvent Fractionation of Polyethylene Simulated with Multistage Algorithm and SAFTEquation of State: Staging Leads to High Selectivity Enhancements for Light Fractions." Ind. Eng. Chem. Res., Vol. 33, p. 306-310 (1994).
AD	CG	LOTH ET AL., "Properties and Dissolution of Drugs Micronized by Crystallization from Supercritical Gases." International J. of Pharmaceuticals, Vol. 32, p. 265-267 (1986).
AD	CH	BODMEIER ET AL., "Polymeric Microspheres Prepared by Spraying Into Compressed Carbon Dioxide," Pharmaceutical Research, Vol. 12, No. 8, p. 1211-1217 (1995).
AD	CI	TOM ET AL., "Formation of Bioerodible Polymeric Microspheres and Microparticles by Rapid Expansion of Supercritical Solutions." Biotechnol. Prog., Vol. 7, p. 403-411 (1991).
AD	CJ	SANCHEZ ET AL., "Development of Biodegradable Microspheres and Nanospheres for the Controlled Release of Cyclosporin A." International J. of Pharmaceuticals, Vol. 99, p. 263-273 (1993).
AD	CK	JULIENNE ET AL., "Preparation of Poly (D, L-Lactide/Glycolide) Nanoparticles of Controlled Particle Size Distribution: Application of Experimental Designs," Drug Development and Industrial Pharmacy, Vol. 18, No. 10, p. 1063-1077 (1992).
AD	CL	PHILLIPS ET AL, "Rapid Expansion from Supercritical Solutions: Application to Pharmaceutical Processes , " International J. of Pharmaceuticals, Vol. 94, p. 1-10 (1993).

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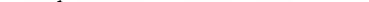
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